Optimal bounds for geometric dilation and computer-assisted proofs

Discrete Mathematics Seminar - ULiège

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1 Geometric dilation of point sets

2 Degree-3 dilation of \mathbb{Z}^2

3 $\operatorname{dil}_3(\mathbb{Z}^2)$: dilation boost

Triangulations

Let $S \subset \mathbb{R}^2$ be a set of points (finite for now).

Definition

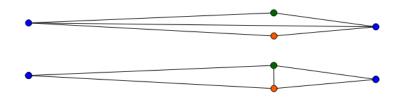
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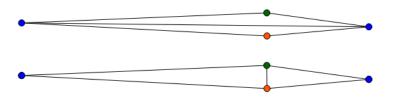


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Definition

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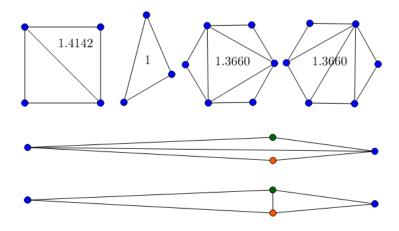
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Goal

Find a triangulation T such that dil(T) is minimal:

$$\operatorname{dil}(S) := \min_{T \text{ triangulation of } S} \operatorname{dil}(T)$$

Examples



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- what about infinite point sets S?

The square lattice: $S = \mathbb{Z}^2$

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requires to show the existence of triangulations with low dilation and degree $\leq k$, as was done in [DG16a].

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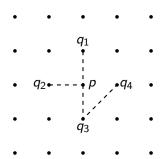
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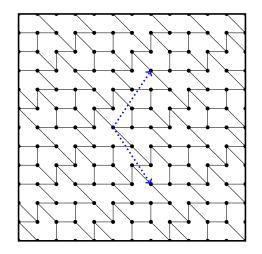
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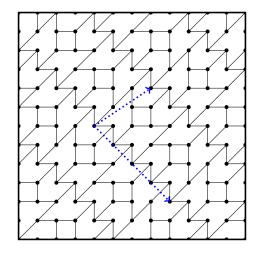
using an explicit construction, and conjectured this bound to be tight.

■ With C. Pilatte, we *disproved* this conjecture by giving examples of degree-3 triangulations of \mathbb{Z}^2 with dilation $1 + \sqrt{2}$.

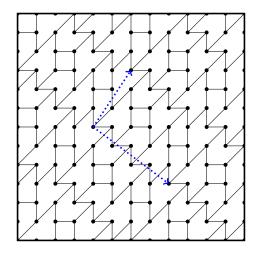
A periodic degree-3 triangulation of \mathbb{Z}^2 with dilation $1+\sqrt{2}$



Another example with dilation $1+\sqrt{2}$



Yet another example



Main ideas:

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- Adding exhaustively "small tiles", while respecting the degree 3
 constraint, and try to detect pairs of points with high dilation as soon
 as possible (those with too many obstructions in between).
- The configurations are periodic, so we work on suitable "tori" with few points.

Optimal and locally optimal triangulations

Definition

Let \mathcal{M} be the set of *optimal* triangulations, the triangulations on \mathbb{Z}^2 of maximum degree 3 which have dilation $1 + \sqrt{2}$, i.e. so that

$$d_T(p,q) \leq (1+\sqrt{2})|pq|$$

for every pair of vertices $(p,q) \in \mathbb{Z}^2$.

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Definition

Let $\mathcal{M}_{\mathrm{loc}}$ be the set of *locally optimal* triangulations, the triangulations T on \mathbb{Z}^2 of maximum degree 3 which satisfy the dilation constraint

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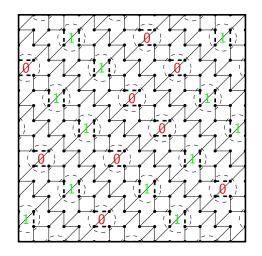
for every pair of vertices $(p,q) \in \mathbb{Z}^2$ with $|pq| \leq \sqrt{5}$.

Small zones considered in the definition of $\mathcal{M}_{\mathrm{loc}}$

Given $p \in \mathbb{Z}^2$, the blue dots represent the points $q \in \mathbb{Z}^2$ with $|pq| \leq \sqrt{5}$.

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Uncountably many locally optimal triangulations



A structural result

Theorem ("Local-global principle"; G.-Pilatte 2022)

 $\mathcal{M}_{\mathrm{loc}} = \mathcal{M}.$

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Lemma ("Dilation boost")

Let $T \in \mathcal{M}_{\mathrm{loc}}$. If $p,q \in \mathbb{Z}^2$ are such that $|pq| = \sqrt{5}$, then

$$\frac{d_T(p,q)}{|pq|} \leq \frac{3+\sqrt{2}}{\sqrt{5}} \approx 1.974 < 2.414 \approx 1+\sqrt{2}.$$

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Idea of the proof ot the Local-global principle.

If $p, q \in \mathbb{Z}^2$ are such that $|pq| > \sqrt{5}$, go from p to q using many "knight moves". Then $d_T(p,q)$ is small enough assuming the dilation boost.

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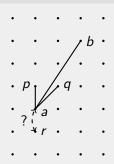
 $3 \operatorname{dil}_3(\mathbb{Z}^2)$: dilation boost

Some properties of triangulations in $\mathcal{M}_{\mathrm{loc}}$

Lemma

The edges of every $T \in \mathcal{M}_{loc}$ are of length 1 or $\sqrt{2}$.

Proof.



Forbidden subconfigurations for triangulations of $\mathcal{M}_{\mathrm{loc}}$

■ The previous lemma says that some "edge patterns", namely edges of length greater than $\sqrt{2}$, cannot appear in a locally optimal triangulation.

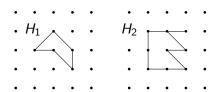
Forbidden subconfigurations for triangulations of $\mathcal{M}_{\mathrm{loc}}$

- The previous lemma says that some "edge patterns", namely edges of length greater than $\sqrt{2}$, cannot appear in a locally optimal triangulation.
- Such forbidden subconfigurations will turn out to be crucial in the computer-assisted proof of the dilation boost.

Two forbidden subconfigurations

Lemma

Let $T \in \mathcal{M}_{\mathrm{loc}}$ and let H_1, H_2 be the following edge configurations. Then, neither H_1 nor H_2 (nor any translation, rotation or reflection of one of these two configurations) is a subgraph of T.



Proof.

Computer-assisted.



Computer-assisted proof for the forbidden configurations

■ The forbidden configurations cause too much obstruction to go from one side to the other with dilation at most $1 + \sqrt{2}$;

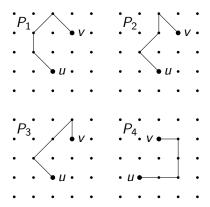
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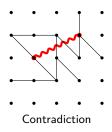
- The forbidden configurations cause too much obstruction to go from one side to the other with dilation at most $1 + \sqrt{2}$;
- This is not straightforward: a lengthy (luckily, computer-assisted!) exhaustive search needs to be performed to show that these configurations do not extend to any triangulation in \mathcal{M}_{loc} ;

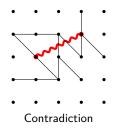
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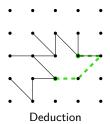
- The forbidden configurations cause too much obstruction to go from one side to the other with dilation at most $1 + \sqrt{2}$;
- This is not straightforward: a lengthy (luckily, computer-assisted!) exhaustive search needs to be performed to show that these configurations do not extend to any triangulation in \mathcal{M}_{loc} ;
- Without care, such an exhaustive search does not terminate! The tricky part is to choose well where to iterate over all possibilities to add an edge and to detect contradictions as soon as possible;

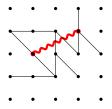
We fix two nodes u and v with $|uv| = \sqrt{5}$. The dilation boost says exactly that none of the following four paths can be a shortest path between u and v in a triangulation from \mathcal{M}_{loc} .



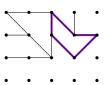




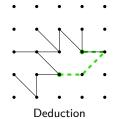


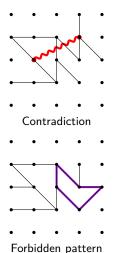


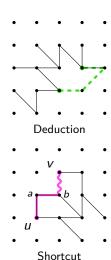
Contradiction



Forbidden pattern







• We do an exhaustive search, but trying to detect contradictions as soon as possible, for instance *shortcuts* (when there is a too short path between u and v) or *contradictions* (when two points cannot be joined so that their dilation is $\leq 1 + \sqrt{2}$).

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- The lemmas with the forbidden configurations are crucial: indeed, they "factorize" several impossible configurations that require quite a lot of computational work.

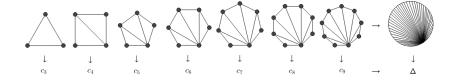
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- The lemmas with the forbidden configurations are crucial: indeed, they "factorize" several impossible configurations that require quite a lot of computational work.
- Trying exhaustively to add edges in the right order is extremely important: not for correctness but for efficiency. If we do not go through the configuration in a "clever order", the search never terminates!

Thanks for your attention!

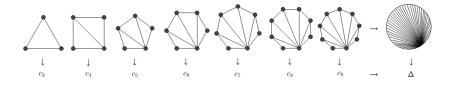
Dilation of a curve, the square

4 Dilation of a curve, the square

Dilation of regular polygons



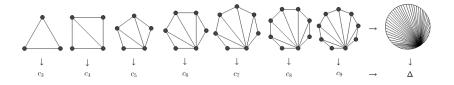
Dilation of regular polygons



Theorem (2019; Pilatte)

The sequence of dilations of regular polygons converges to a value,

Dilation of regular polygons



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The sequence of dilations of regular polygons converges to a value, **the** dilation of the circle.

Dilation of the circle

■ For each $n \ge 3$, we consider the dilation of the finite point set S_n whose vertices form a regular n-gon. We therefore consider a sequence of combinatorial optimization problems;

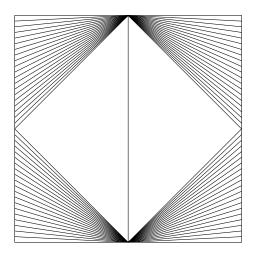
Dilation of the circle

- For each $n \ge 3$, we consider the dilation of the finite point set S_n whose vertices form a regular n-gon. We therefore consider a sequence of combinatorial optimization problems;
- There exists a *limit continuous optimization problem*, and there exists at least one optimal infinite triangulation (in a suitable precise sense) attaining the dilation of the circle;

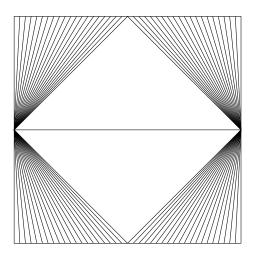
Dilation of the circle

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- There exists a limit continuous optimization problem, and there exists at least one optimal infinite triangulation (in a suitable precise sense) attaining the dilation of the circle;
- Neither the dilation nor the optimal triangulation for the circle are known!

Conjectured optimal triangulations for the square

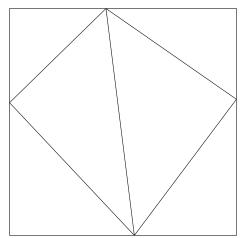


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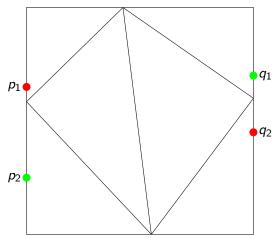
How to prove that those triangulations are optimal?

One can only consider triangulations containing a "central quadrilateral with a diagonal":

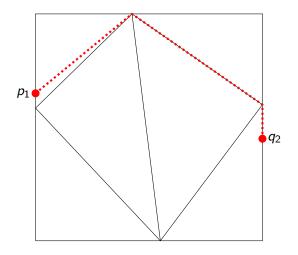


A pair of pairs

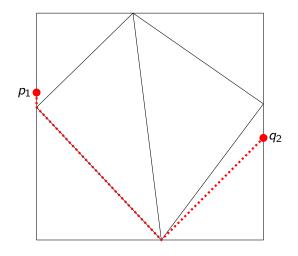
Two types of paths face a lot of obstruction: top-left to bottom-right and top-right to bottom-left:



Two paths for each pair



Two paths for each pair



■ We need to show that the unique minimum of

$$[-1,1]^4 \rightarrow \mathbb{R}: (a,b,c,d) \mapsto \max_{p_1,p_2,q_1,q_2} \max(\operatorname{dil}(p_1,q_1),\operatorname{dil}(p_2,q_2))$$

is attained for $(a, b, c, d) = 0_{\mathbb{R}^4}$;

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- This (explicit!) optimization problem is hard: lack of smoothness due to the min/max, lack of convexity, etc;
- With some care, one can show, using interval arithmetic, that the minimum must be *close* to $0_{\mathbb{R}^4}$;
- A local analysis for (a, b, c, d) close $0_{\mathbb{R}^4}$ requires both theoretical and numerical ideas.

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